



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Johnson et al.

Group Art Unit: 1714

Serial No.: 09/752,227

Examiner: C. Shosho

Filed: December 29, 2000

For: **MODIFIED PIGMENTS HAVING IMPROVED DISPERSING PROPERTIES**

Commissioner for Patents
Washington, D.C. 20231

RECEIVED
MAR 29 2002
TC 1700

INFORMATION DISCLOSURE STATEMENT

Sir:

Pursuant to the duty of disclosure under 37 C.F.R. §§ 1.56, 1.97 and 1.98, Applicants respectfully request consideration of this Information Disclosure Statement.

Section 1. Preliminary Statements

Applicants submit herewith patents, publications, or other information on the attached form PTO-1449 (modified) of which they are aware, which they believe may be material to the examination of the above-identified application and in respect of which there may be a duty to disclose.

The filing of this Information Disclosure Statement shall not be construed as a representation that a search has been made (37 CFR 1.97(g)), an admission that the information cited is, or is considered to be, material to patentability, or that no other material information exists.

By submitting this Information Disclosure Statement, Applicants make no representation that the information cited in the Statement is, or is considered to be, in fact, prior art as defined by 35 U.S.C. §102.

The order of presentation of the references should not be construed as an indication of the importance of the references.

The filing of this information disclosure statement shall not be construed as an admission against interest in any manner.

Legible copies of **all** items listed on the attached form PTO-1449 (modified) are enclosed. It is respectfully requested that:

1. The Examiner considers completely the cited information, along with any other information, in reaching a determination concerning the patentability of the present claims;

2. The enclosed form PTO-1449 be signed by the Examiner to evidence that the cited patent(s) and publication(s) has (have) been fully considered by the Patent and Trademark Office during the examination of this application; and

3. The citations for the patent(s) and publication(s) be printed on any patent which issues from this application.

Notwithstanding the above, the Examiner is urged to form his/her own conclusions regarding the relevance of each cited reference. An early and favorable action is respectfully requested.

Respectfully submitted,

- *Michelle B. Lando*

Date: March 21, 2002

Michelle B. Lando
Reg. No. 33,941
CABOT CORPORATION
157 Concord Road
Billerica, MA 01821-7001
(978) 670-7030

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.
97078CIPDIV1

In Re Application: Johnson et al.

Serial No.
09/752,227

Filing Date

December 29, 2000

Examiner
C. Shosho

Group Art Unit
1714

MODIFIED PIGMENTS HAVING IMPROVED DISPERSING PROPERTIES

Payment of Fee

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☐ A check in the amount of _____ is attached.
- ☒ The Assistant Commissioner is hereby authorized to charge and credit Deposit Account No. 03-0060 as described below. A duplicate copy of this sheet is enclosed.
- ☒ Charge the amount of \$180.00
- ☐ Credit any overpayment.
- ☒ Charge any additional fee required.

Certificate of Transmission by Facsimile*

I certify that this document and authorization to charge deposit account is being facsimile transmitted to the United States Patent and Trademark Office (Fax No. _____) on _____

(Date)

Signature

Typed or Printed Name of Person Signing Certificate

Certificate of Mailing by First Class Mail

I certify that this document and fee is being deposited on **March 21, 2002** with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

Signature of Person Mailing Correspondence

Lyn M. Covenor

Typed or Printed Name of Person Mailing Certificate

***This certificate may only be used if paying by deposit account.**

Dated: March 21, 2002

RECEIVED

MAR 29 2002

TC 1700

Michelle B. Lando
Reg. No. 33,941
Cabot Corporation
Law Department
157 Concord Road
Billerica, MA 01821

CC:

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.
97078CIPDIV1

In Re Application Of: **Johnson et al.**

Serial No.
09/752,227

Filing Date
December 29, 2000

Examiner
C. Shosho

Group Art Unit
1714

Title: **MODIFIED PIGMENTS HAVING IMPROVED DISPERSING PROPERTIES**

Address to:

Assistant Commissioner for Patents
Washington, D.C. 20231

37 CFR 1.97(b)

1. ☐ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

37 CFR 1.97(c)

2. ☒ The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:

☐ the statement specified in 37 CFR 1.97(e);

OR

☒ the fee set forth in 37 CFR 1.17(p).

RECEIVED
MAR 29 2002
TC 1700